

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

REC'D 15 MAR 2001
WIPO
PCT

Applicant's or agent's file reference PFC 1442 PCT	FOR FURTHER ACTION		See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/GB00/02305	International filing date (day/month/year) 14/06/2000	Priority date (day/month/year) 17/06/1999	
International Patent Classification (IPC) or national classification and IPC H01M8/10			
Applicant JOHNSON MATTHEY PUBLIC LIMITED COMPANY			

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 5 sheets, including this cover sheet.

This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I Basis of the report
- II Priority
- III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV Lack of unity of invention
- V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI Certain documents cited
- VII Certain defects in the international application
- VIII Certain observations on the international application

Date of submission of the demand 15/01/2001	Date of completion of this report 13.03.2001
Name and mailing address of the international preliminary examining authority: European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Brisson, O Telephone No: +49 89 2399 8449



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB00/02305

I. Basis of the report

1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).):

Description, pages:

1-14 as originally filed

Claims, No.:

1-25 as originally filed

Drawings, sheets:

1/1 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- the language of publication of the international application (under Rule 48.3(b)).
- the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- contained in the international application in written form.
- filed together with the international application in computer readable form.
- furnished subsequently to this Authority in written form.
- furnished subsequently to this Authority in computer readable form.
- The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

the description, pages:
 the claims, Nos.:

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/GB00/02305

the drawings, sheets:

5. This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):
(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

6. Additional observations, if necessary:

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes: Claims 1-25
	No: Claims
Inventive step (IS)	Yes: Claims 1-25
	No: Claims
Industrial applicability (IA)	Yes: Claims 1-25
	No: Claims

2. Citations and explanations
see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
see separate sheet

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/GB00/02305

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Relevant prior art

Reference is made to the following documents cited in the international search report:

- D1: EP-A-0 736 921 (BALLARD POWER SYSTEMS ;JOHNSON MATTHEY PLC (GB)) 9 October 1996 (1996-10-09) cited in the application
- D2: EP-A-0 606 051 (PERMELEC ELECTRODE LTD ;PERMELEC SPA NORA (IT)) 13 July 1994 (1994-07-13)

2. Novelty

2.1. Document D1 discloses an electrode comprising an electrically conducting gas diffusion substrate which differs from the subject-matter of claim 1 in that no electrically non-conducting support for the first catalyst is mentioned.

2.2. The document D2 is regarded as being the closest prior art to the subject-matter of independent claim 1, and discloses (the references in parentheses applying to this document): a gas electrode comprising an electrically conducting gas diffusion substrate which differs from the subject-matter of claim 1 in that the first catalyst (ie gold, as claimed is present claim 4) is supported by an electrically conductive oxide such as titanium oxide (see abstract and column 3, line 50 to column 5, line 7). As far as claim 1 in combination with dependant claims 4 and 6 can be understood (see §VIII-1 below), the form of the titanium oxide usable in the present invention is different from the electrically conductive form (Magnéli phase) involved in D2.

Therefore the subject-matter of independent claim 1, 14, 15, 19-25 is considered as new in the sense of Article 33(2) PCT with regard to the available prior art.

3. Inventive step

The electrically conducting gas diffusion substrate of independent claim 1 is not mentioned neither suggested by the available prior art. Therefore, the subject-matter of independent claims 1 14, 15, 19-25 is considered to fulfill the requirements of Article 33(3) PCT with regard to inventive step.

Re Item VIII

Certain observations on the international application

1. In spite of the wording of claim 1 where the support of the first catalyst is defined as an electrically non-conducting support, an electrically conducting gas diffusion substrate is defined in D2 which comprises (see claims 2 and 7) gold as catalyst (as in present dependant claim 4) and titania, in a conductive form, as catalyst support (as in present dependant claim 6). Since the non-conducting form of titania involved in the present application is not specifically defined, the subject-matter of claim 1 in combination with dependant claims 4 and 6 lacks clarity in the sense of Article 6 PCT.
2. Although claims 19-22 and 23-25 have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter and to differ from each other only with regard to the definition of the subject-matter for which protection is sought and in respect of the terminology used for the features of that subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.

Hence, claims 19-22 and 23-25 do not meet the requirements of Article 6 PCT.

ATENT COOPERATION TRL. .TY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION
(PCT Rule 61.2)

Date of mailing (day/month/year) 20 February 2001 (20.02.01)	To: Commissioner US Department of Commerce United States Patent and Trademark Office, PCT 2011 South Clark Place Room CP2/5C24 Arlington, VA 22202 ETATS-UNIS D'AMERIQUE in its capacity as elected Office
International application No. PCT/GB00/02305	Applicant's or agent's file reference PFC 1442 PCT
International filing date (day/month/year) 14 June 2000 (14.06.00)	Priority date (day/month/year) 17 June 1999 (17.06.99)
Applicant BALL, Sarah, Caroline et al	

1. The designated Office is hereby notified of its election made:

in the demand filed with the International Preliminary Examining Authority on:

15 January 2001 (15.01.01)

in a notice effecting later election filed with the International Bureau on:

2. The election was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

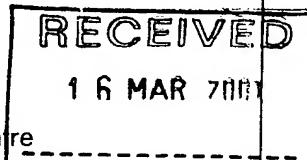
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Juan Cruz Telephone No.: (41-22) 338.83.38
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PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

WISHART, Ian Carmichael
WISHART, Ian, Carmichael
Johnson Matthey Technology Centre
Blounts Court
Sonning Common
Reading RG4 9NH
GRANDE BRETAGNE



PCT

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL PRELIMINARY
EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing
(day/month/year) 13.03.2001

Applicant's or agent's file reference
PFC 1442 PCT

IMPORTANT NOTIFICATION

International application No. PCT/GB00/02305	International filing date (day/month/year) 14/06/2000	Priority date (day/month/year) 17/06/1999
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Applicant
JOHNSON MATTHEY PUBLIC LIMITED COMPANY

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

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D-80298 Munich
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Authorized officer

Myers, J

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PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference PFC 1442 PCT	FOR FURTHER ACTION		See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/GB00/02305	International filing date (day/month/year) 14/06/2000	Priority date (day/month/year) 17/06/1999	
International Patent Classification (IPC) or national classification and IPC H01M8/10			
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<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> I <input checked="" type="checkbox"/> Basis of the report II <input type="checkbox"/> Priority III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability IV <input type="checkbox"/> Lack of unity of invention V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement VI <input type="checkbox"/> Certain documents cited VII <input type="checkbox"/> Certain defects in the international application VIII <input checked="" type="checkbox"/> Certain observations on the international application 			
Date of submission of the demand 15/01/2001	Date of completion of this report 13.03.2001		
Name and mailing address of the international preliminary examining authority: European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	<p>Authorized officer Brisson, O</p> <p>Telephone No. +49 89 2399 8449</p>  		

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB00/02305

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- the claims, Nos.:

**INTERNATIONAL PRELIMINARY
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International application No. PCT/GB00/02305

the drawings, sheets:

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(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

6. Additional observations, if necessary:

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes:	Claims 1-25
	No:	Claims
Inventive step (IS)	Yes:	Claims 1-25
	No:	Claims
Industrial applicability (iA)	Yes:	Claims 1-25
	No:	Claims

2. Citations and explanations
see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
see separate sheet

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2.2. The document D2 is regarded as being the closest prior art to the subject-matter of independent claim 1, and discloses (the references in parentheses applying to this document): a gas electrode comprising an electrically conducting gas diffusion substrate which differs from the subject-matter of claim 1 in that the first catalyst (ie gold, as claimed in present claim 4) is supported by an electrically conductive oxide such as titanium oxide (see abstract and column 3, line 50 to column 5, line 7). As far as claim 1 in combination with dependant claims 4 and 6 can be understood (see §VIII-1 below), the form of the titanium oxide usable in the present invention is different from the electrically conductive form (Magnéli phase) involved in D2.

Therefore the subject-matter of independent claim 1, 14, 15, 19-25 is considered as new in the sense of Article 33(2) PCT with regard to the available prior art.

3. Inventive step

The electrically conducting gas diffusion substrate of independent claim 1 is not mentioned neither suggested by the available prior art. Therefore, the subject-matter of independent claims 1 14, 15, 19-25 is considered to fulfill the requirements of Article 33(3) PCT with regard to inventive step.

Re Item VIII

Certain observations on the international application

1. In spite of the wording of claim 1 where the support of the first catalyst is defined as an electrically non-conducting support, an electrically conducting gas diffusion substrate is defined in D2 which comprises (see claims 2 and 7) gold as catalyst (as in present dependant claim 4) and titania, in a conductive form, as catalyst support (as in present dependant claim 6). Since the non-conducting form of titania involved in the present application is not specifically defined, the subject-matter of claim 1 in combination with dependant claims 4 and 6 lacks clarity in the sense of Article 6 PCT.
2. Although claims 19-22 and 23-25 have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter and to differ from each other only with regard to the definition of the subject-matter for which protection is sought and in respect of the terminology used for the features of that subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.

Hence, claims 19-22 and 23-25 do not meet the requirements of Article 6 PCT.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
28 December 2000 (28.12.2000)

PCT

(10) International Publication Number
WO 00/79628 A1(51) International Patent Classification⁷: H01M 8/10, 4/86Green Lane, Tokers Green, Reading RG4 9EB (GB).
HOOGERS, Gregor [NL/DE]; Fachhochschule Trier,
Umwelt-Campus Birkenfeld, P.O. Box 1380, D-55761
Birkenfeld (DE). THOMPSETT, David [GB/GB]; 53
Highmoor Road, Caversham, Reading RG4 7BQ (GB).

(21) International Application Number: PCT/GB00/02305

(22) International Filing Date: 14 June 2000 (14.06.2000)

(25) Filing Language: English

(74) Agent: WISHART, Ian, Carmichael; Johnson Matthey
Technology Centre, Blounts Court, Sonning Common,
Reading RG4 9NH (GB).

(26) Publication Language: English

(81) Designated States (national): CA, JP, US.

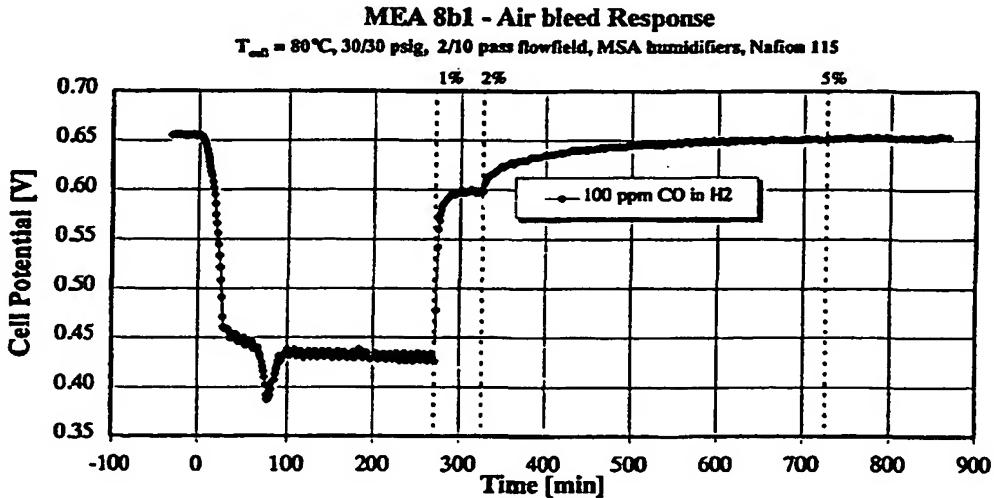
(30) Priority Data:
9914023.8 17 June 1999 (17.06.1999) GB(84) Designated States (regional): European patent (AT, BE,
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,
NL, PT, SE).(71) Applicant (for all designated States except US): JOHN-
SON MATTHEY PUBLIC LIMITED COMPANY
[GB/GB]; 2-4 Cockspur Street, Trafalgar Square, London
SW1Y 5BG (GB).

Published:

- With international search report.
- Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: GAS DIFFUSION SUBSTRATE AND ELECTRODE



WO 00/79628 A1

(57) Abstract: An electrically conducting gas diffusion substrate, capable of removing oxidisable impurities from an impure gas stream, which comprises an electrically conducting porous structure and a first catalytic component, wherein the first catalytic component comprises a first catalyst supported on an electrically non-conducting support is disclosed. In addition, an electrode, a membrane electrode assembly and a fuel cell each comprising said electrically conducting gas diffusion substrate is disclosed.

GAS DIFFUSION SUBSTRATE AND ELECTRODE

The present invention relates to an improved electrically conducting gas diffusion substrate and an electrode comprising said substrate, suitable for use in particular in proton exchange membrane fuel cells. The invention further relates to a membrane electrode assembly and fuel cell comprising said substrate or electrode.

Electrochemical cells invariably comprise at their fundamental level a solid or liquid electrolyte and two electrodes, the anode and cathode, at which the desired electrochemical reactions take place. A fuel cell is an energy conversion device that efficiently converts the stored energy of its fuel into electrical energy by combining hydrogen, stored as a gas, or methanol, stored as a liquid or gas, with oxygen to generate electrical power. The hydrogen or methanol is oxidised at the anode and oxygen reduced at the cathode. In these cells gaseous reactants and/or products have to be diffused into and/or out of the cell electrode structures. The electrodes therefore are specifically designed to be porous to gas diffusion in order to optimise the contact between the reactants and the reaction sites in the electrode to maximise the reaction rate. An electrolyte is required which is in contact with both electrodes and which may be alkaline or acidic, liquid or solid. In a solid polymer fuel cell (SPFC), also known as a proton-exchange membrane fuel cell (PEMFC), the electrolyte is a solid proton-conducting polymer membrane, commonly based on perfluorosulphonic acid materials. These electrolytes must be maintained in a hydrated form during operation in order to prevent loss of ionic conduction through the electrolyte; this limits the operating temperature of the PEMFC to between 70°C and 120°C, depending on the operating pressure. The PEMFC does, however, provide much higher power density output than the other fuel cell types, and can operate efficiently at much lower temperatures. Because of this, it is envisaged that the PEMFC will find use in vehicular power generation and small-scale residential power generation applications. In particular, vehicle zero-emission regulations have been passed in areas of the United States that are likely to restrict the use of the combustion engine in the future. Pre-commercial PEMFC-powered buses and prototype PEMFC-powered vehicles are now being demonstrated for these applications.

Due to the relatively low operating temperatures of these systems, the oxidation and reduction reactions require the use of catalysts in order to proceed at useful rates. Catalysts, which promote the rates of electrochemical reactions, such as 5 oxygen reduction and hydrogen oxidation in a fuel cell, are often referred to as electrocatalysts. Precious metals, in particular platinum, have been found to be the most efficient and stable electrocatalysts for all low-temperature fuel cells operating below 300°C. The platinum electrocatalyst is provided as very small particles (~20-50Å) of high surface area, which are often, but not always, distributed on and 10 supported by larger macroscopic conducting carbon particles to provide a desired catalyst loading. Conducting carbons are the preferred materials to support the catalyst.

In the PEMFC the combined laminate structure formed from the membrane 15 and the two electrodes is known as a membrane electrode assembly (MEA). The MEA will typically comprise several layers, but can in general be considered, at its basic level, to have five layers, which are defined principally by their function. On either side of the membrane an anode and cathode electrocatalyst is incorporated to increase the rates of the desired electrode reactions. In contact with the electrocatalyst 20 containing layers, on the opposite face to that in contact with the membrane, are the anode and cathode gas diffusion substrate layers. The anode gas diffusion substrate is designed to be porous and to allow the reactant hydrogen or methanol to enter from the face of the substrate exposed to the reactant fuel supply, and then to diffuse through the thickness of the substrate to the layer which contains the electrocatalyst, usually 25 platinum metal based, to maximise the electrochemical oxidation of hydrogen or methanol. The anode electrocatalyst layer is also designed to comprise some level of the proton conducting electrolyte in contact with the same electrocatalyst reaction sites. With acidic electrolyte types the product of the anode reaction are protons and these can then be efficiently transported from the anode reaction sites through the 30 electrolyte to the cathode layers. The cathode gas diffusion substrate is also designed to be porous and to allow oxygen or air to enter the substrate and diffuse through to the electrocatalyst layer reaction sites. The cathode electrocatalyst combines the protons

with oxygen to produce water. Product water then has to diffuse out of the cathode structure. The structure of the cathode has to be designed such that it enables the efficient removal of the product water. If water builds up in the cathode, it becomes more difficult for the reactant oxygen to diffuse to the reaction sites, and thus the 5 performance of the fuel cell decreases. In the case of methanol fuelled PEMFCs, additional water is present due to the water contained in the methanol, which can be transported through the membrane from the anode to the cathode side. The increased quantity of water at the cathode requires removal. However, it is also the case with proton exchange membrane electrolytes, that if too much water is removed from the 10 cathode structure, the membrane can dry out and the performance of the fuel cell also decreases.

The complete MEA can be constructed by several methods. The electrocatalyst layers can be bonded to one surface of the gas diffusion substrates to 15 form what is known as a gas diffusion electrode. The MEA is then formed by combining two gas diffusion electrodes with the solid proton-conducting membrane. Alternatively, the MEA may be formed from two porous gas diffusion substrates and a solid proton-conducting polymer membrane catalysed on both sides; or indeed the MEA may be formed from one gas diffusion electrode and one gas diffusion substrate 20 and a solid proton-conducting polymer catalysed on the side facing the gas diffusion substrate.

Gas diffusion substrates or electrodes are employed in many different electrochemical devices in addition to fuel cells, including metal-air batteries, 25 electrochemical gas sensors, and electrochemical reactors for the electrosynthesis of useful chemical compounds.

In most practical fuel cell systems, the hydrogen fuel is produced by converting a hydrocarbon-based fuel (such as methane) or an oxygenated hydrocarbon fuel (such as 30 methanol) to hydrogen in a process known as reforming. This fuel, referred to as reformate, contains (in addition to hydrogen) high levels of carbon dioxide (CO₂), of around 25%, and small amounts of impurities such as carbon monoxide (CO), typically

at levels of around 1%. For fuel cells operating at temperatures below 200°C, and especially for the PEMFC operating at temperatures around 100°C, it is well known that CO, even at levels of 1-10ppm, is a severe poison for the platinum electrocatalysts present in the electrodes. This leads to a significant reduction in fuel cell performance,

5 *i.e.* the cell voltage at a given current density is reduced. This deleterious effect is more pronounced in PEMFCs operating at lower temperatures.

Various methods have been employed to alleviate anode CO poisoning. For example, reformer technology has been redesigned to include an additional catalytic

10 reactor, known as a preferential or selective oxidation reactor. This involves the injection of air or oxygen into the hydrogen-containing reactant gas stream, prior to it passing over a selective oxidation catalyst, to oxidise the CO to CO₂. This can reduce the levels of CO from 1-2% down to below 100ppm. However, even at these levels, the anode electrocatalyst in the PEMFC is still poisoned.

15 A further method to alleviate CO poisoning is to use an electrocatalyst that itself has a degree of tolerance to CO, for example Pt/Ru as described by Niedrach *et al* in *Electrochem. Technol.*, 5, 318, (1967). However, again, it is not possible to fully attain the performance observed when using pure hydrogen *i.e.* in the absence of CO in

20 the fuel stream, using this approach in isolation.

It has also been found that poisoning of the electrocatalyst by CO at levels of 1-100ppm can be reduced by the use of an oxygen or air bleed directly into the anode gas stream just before it enters the anode chamber of the fuel cell itself. This is described by

25 Gottesfeld and Pafford in *J. Electrochem. Soc.*, 135, 2651 *et seq* (1988). This technique is believed to have the effect of oxidising the residual CO in the fuel to CO₂, the reaction being catalysed by electrocatalyst sites present in the anode:



This technique provides fuel cell performance that is much closer to the performance observed when no CO is present in the fuel stream.

An improved structure in combination with the use of an air bleed is to add to the substrate a second catalyst (a "gas phase catalyst") that will oxidise CO in the presence of hydrogen, for example as described in EP-A- 0 736 921. The use of the 5 additional gas phase catalyst gives improved performance of the electrode over the corresponding electrode without a gas phase catalyst. However, if the gas phase catalyst is supported on a conducting carbon support as described in EP-A-0 736 921, it may not be possible to isolate the gas phase catalyst from the overall electrode potential. The presence of oxygen on the gas phase catalyst particles will induce the gas phase catalyst 10 potential to tend towards a more oxidising, i.e. higher, potential. Overall the electrode could then exhibit a mixed cell potential higher than the hydrogen oxidation potential, leading to a loss of electrode efficiency. Likewise, the electronic conductivity can also lead to a pinning of the potential of the selective oxidation catalyst to the low potential 15 of the anode, which is close to the hydrogen oxidation potential. This will affect the ability of the selective oxidation catalyst to oxidise CO even in the presence of oxygen.

The use of a gas phase catalyst still requires the presence of an air bleed and there are concerns over the long-term sustainability of the cell performance when this approach is employed. This is particularly the case if high levels of air bleed, equivalent 20 to 4% and above of the total reformate fuel volume, are required.

The object of the present invention is to provide an electrically conducting gas diffusion substrate and electrode capable of removing oxidisable impurities from a gas stream, in which the gas phase catalyst is unable to participate in the electrochemical 25 reaction. This allows optimum performance for both the gas phase catalyst and the electrocatalyst in the electrode because catalyst potentials are then independent of each other. Thus, either a lower level of air bleed is required to give the same performance, or using conventional levels of air bleed, a higher performance is obtained.

30 Accordingly, the present invention provides an electrically conducting gas diffusion substrate comprising an electrically conducting porous structure and a first

catalytic component, characterised in that the first catalytic component comprises a first catalyst supported on an electrically non-conducting support.

The electrically conducting porous structure may be a woven or non-woven fibrous material. For example, the porous structure may be a rigid carbon fibre paper, such as the TGP Series produced by Toray Industries; a woven carbon cloth, such as PWB-3 produced by Zoltek; or a non-woven carbon fibre structure, such as Optimat 203 produced by Technical Fibre Products. Alternatively, the porous structure may be formed from a polymer; for example an expanded polymer mesh as described in 10 WO98/27606. Alternatively, the porous structure may be a metal mesh, for example of nickel or steel. The electrical conductivity of the porous structure may be improved by the addition of a filler material. Suitably, the filler material comprises a particulate carbon and a polymer, the carbon suitably being in the form of a powder. The carbon powder may be any of the materials generally designated as carbon black such as 15 acetylene blacks, furnace blacks, pitch coke based powders and graphitised versions of such materials. Suitably also both natural and synthetic graphites may be used in this application. Such materials may be used either alone or in combination. The particulate carbon, or carbons, in the filler are held together by one or more polymers. The polymeric materials used will contribute to the essential electrode structural properties 20 such as pore size distribution, hydrophobic/hydrophilic balance and physical strength of the gas diffusion layer. Examples of such polymers include polytetrafluoroethylene (PTFE), fluorinated ethylene-propylene (FEP), polyvinylidene difluoride (PVDF), Vitor A, polyethylene, polypropylene, ethylene-propylene. The preferred final polymer is PTFE or FEP. The filler material is suitably embedded within the porous structure.

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Suitably, the first catalyst is a gas-phase catalyst designed to remove contaminant gases in the fuel or oxidant feed streams, such as for example carbon monoxide in the hydrogen fuel when this is supplied from a reformer. Suitably, the first catalyst may be a noble metal, for example platinum, palladium, ruthenium, rhodium or gold, or a non-noble metal, for example chromium, molybdenum, nickel or manganese or a combination of one or more noble metals and/or non-noble metals. Preferably, the first catalyst is a noble metal, for example rhodium. The first catalyst is supported on a

electrically non-conducting support, which is suitably an oxidic support such as alumina, silica, ceria, zirconia, an oxide of iron, e.g. Fe_2O_3 , a manganese oxide, e.g. MnO_2 or titania. The support is preferably ceria, iron oxide, titania or manganese dioxide if the catalyst is gold. Preferably, the support is alumina or silica.

5

The first catalytic component may either be embedded within the porous structure or may be applied to the porous structure as a surface layer. If applied to the porous structure as a surface layer, the first catalytic component is suitably applied as a mixture with electrically conducting particles, for example carbon particles such as 10 carbon black. If the first catalytic component is embedded within the porous structure, the first catalytic component may optionally be mixed with electrically conducting particles.

15 The electrically conducting gas diffusion substrate of the invention may be prepared by taking a pre-formed porous structure, with or without the addition of a filler material, and subsequently in-filling with the first catalytic component or by applying the first catalytic component as a surface layer. If the first catalytic component is embedded within the porous structure, the majority of the catalytic component will be forced into the structure of the porous structure, although a small quantity may remain 20 on the surface. The first catalytic component may be embedded within the porous structure by conventional methods known in the art, for example as filtration, powder vacuum deposition, spray deposition, electrodeposition, extrusion, printing, rolling, K-bar, doctor blade methods, or thin-film casting. If the first catalytic component is applied to the surface of the electrically conducting porous structure, optionally mixed 25 with electrically conducting particles, this too may be carried out using conventional methods known in the art, for example as filtration, powder vacuum deposition, spray deposition, electrodeposition, extrusion, printing, rolling, K-bar, doctor blade methods, or thin-film casting.

30 Alternatively, in the case of a non-woven fibre structure being used, the gas diffusion substrate may be prepared in a single step process by adapting a continuous manufacturing technique, for example paper making, or extrusion or pultrusion.

A second aspect of the present invention provides a gas diffusion electrode comprising an electrically conducting gas diffusion substrate as hereinbefore defined and a second catalytic component.

5

Preferably, the second catalytic component comprises an electrocatalyst which facilitates the electrochemical reaction, and may be, for example, a precious metal or a transition metal as the metal or metal oxide, either unsupported or supported in a dispersed form on a carbon support; an organic complex, in the form of a high surface area finely divided powder or fibre; or a combination of these options. Most suitably, the second catalytic component is a platinum/ruthenium alloy supported on carbon black or platinum supported on carbon black.

When functioning as a gas diffusion electrode, the first catalytic component is 15 unable to partake in the electrochemical reaction since the first catalyst is supported on an electrically non-conducting support, and thus no mixed cell potential is obtained.

The electrode of the invention may be used either as the anode or the cathode; suitably it is used as the anode and the first catalytic component is selected to remove 20 CO from an impure hydrogen feed. If used as a cathode, the first catalytic component is selected to remove any oxidisable impurities, whether airborne or unreacted fuels crossing over from the anode.

The second catalytic component may either be applied to the gas diffusion 25 substrate as a separate layer, the first catalytic component either being embedded within the porous structure or applied as a surface layer. Alternatively, the second catalytic component may be applied to the porous structure as a surface layer mixed with the first catalytic component. If the first and second catalytic components are mixed prior to application to the porous substrate, there may or may not be an additional electrically 30 conducting substance included in the mixed layer, since this property will be provided by second catalytic component.

5 The second catalytic component, whether applied separately or mixed with the first catalytic component may be applied to the gas diffusion substrate or porous structure by conventional methods known in the art, for example by filtration, powder vacuum deposition, spray deposition, electrodeposition, extrusion, printing, rolling, K-bar, doctor blade methods, or thin-film casting.

A third aspect of the invention provides a membrane electrode assembly comprising a gas diffusion electrode of the invention as hereinbefore defined and a second gas diffusion electrode which may or may not be an electrode according to the 10 invention, and a solid polymer membrane, for example Nafion®. Alternatively, the invention provides a membrane electrode assembly comprising a gas diffusion electrode of the invention as hereinbefore defined, a gas diffusion substrate which may or may not be a substrate according to the invention and a solid polymer membrane, for example Nafion®, wherein an 15 electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate. Alternatively, the invention provides a membrane electrode assembly comprising a gas diffusion substrate of the invention as hereinbefore defined, a gas diffusion electrode which may or may not be an electrode according to the invention and a solid polymer membrane, for example Nafion®, wherein an 20 electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate. Alternatively, the invention provides a membrane electrode assembly comprising a gas diffusion substrate of the invention as hereinbefore defined and a second gas diffusion substrate which may or may not be a substrate according to the invention, and a solid polymer membrane, for example Nafion®, wherein an 25 electrocatalyst layer is applied to both sides of the solid polymer membrane.

30 A still further aspect of the invention provides a fuel cell comprising a gas diffusion substrate according to the present invention. A yet further aspect provides a fuel cell comprising a gas diffusion electrode according to the present invention. A yet further aspect provides a fuel cell comprising a membrane electrode assembly according to the present invention.

The invention will now be further described with reference to the following examples.

COMPARATIVE EXAMPLE 1 – Pt/Shawinigan carbon

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A gas phase selective oxidation catalyst layer was prepared as described in Example 1 of EP-A-0 736 921 from a 20wt% Pt supported on Shawinigan Acetylene Black carbon catalyst, obtained from Johnson Matthey Inc, West Deptford, NJ, USA, to give a metal loading of 0.19 mgcm⁻² of Pt.

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COMPARATIVE EXAMPLE 2 – Pt/Shawinigan carbon

A gas phase selective oxidation catalyst layer was prepared as described in Comparative Example 1 from a 20wt% Pt supported on Shawinigan Acetylene Black carbon catalyst at a metal loading of 0.33 mg cm⁻² of Pt.

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EXAMPLE 1 – Rh/Alumina 524

A gas phase selective oxidation catalyst layer was prepared using a 5wt% Rh supported on alumina catalyst, which was obtained from Johnson Matthey Alfa (Type 524 alumina, nominal surface area 141m²g⁻¹). A PTFE containing ink (35 wt% solids with respect to catalyst weight) was prepared from the rhodium catalyst by a method similar to that described in EP-A-0 736 921. A gas phase selective oxidation layer of the rhodium ink was applied to a side of a pre-teflonated Toray TPG90 paper to give a Rh loading of 0.12 mgcm⁻².

25

EXAMPLE 2 - Rh/Alumina 526

A gas phase selective oxidation catalyst layer was prepared as described for Example 1 using a 5%Rh on alumina catalyst obtained from Johnson Matthey Alfa (Type 526 alumina, nominal surface area 150m²g⁻¹), to give a Rh loading of 0.1 mgcm⁻².

EXAMPLE 3 – Rh/Alumina 526

A gas phase selective oxidation catalyst layer was prepared as described for Example 1 using a 5%Rh on alumina catalyst obtained from Johnson Matthey Alfa 5 (Type 526, nominal surface area $150\text{m}^2\text{g}^{-1}$), to give a Rh loading of 0.2 mgcm^{-2} .

EXAMPLE 4- Rh/Alumina SCF-140

A catalyst sample of nominal composition 5%Rh on SCF-140 Alumina 10 (obtained from Condea Chemie GmbH, Hamburg) was prepared by a precipitation route. SCF140 alumina (14g) was slurried in demineralised water (150 cm^3) and the pH adjusted to 7.5 by the addition of aqueous ammonia solution. The slurry was stirred and heated to 80°C . Rhodium nitrate solution (5g, Johnson Matthey Alfa, 14.2 wt% Rh) was diluted to 10 cm^3 by the addition of water and added dropwise to the slurry, and the pH 15 was maintained between 7 and 8 during the addition, by periodic additions of aqueous ammonia. The catalyst was dried at 100°C in air for 2 hrs, and reduced in flowing $10\%\text{H}_2/\text{N}_2$ at 250°C for 2 hrs. A gas phase selective oxidation layer was prepared from the resulting catalyst as described for Example 1, to give a Rh loading of 0.2 mg cm^{-2} .

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EXAMPLE 5- Rh/silica

A gas phase selective oxidation layer was prepared as described for Example 1, using a 5%Rh on silica powder catalyst obtained from Johnson Matthey Alfa, to give a Rh loading of 0.09 mg cm^{-2} .

25

EX-SITU SAMPLE EVALUATION

The gas phase selective oxidation layers of Comparative Examples 1 and 2 and Examples 1-5 were tested for the removal of CO from a H_2 rich gas stream with the 30 addition of an air bleed, in an experimental set-up which was similar to that of a fuel cell. This is termed ex-situ evaluation. The selective oxidation layers were fabricated

into small (6.45 cm²) MEAs using a bare piece of Toray TGP90 as a 'cathode' and either a piece of Nafion 115 or a piece of 0.1 mm thick photocopier transparency film, as the membrane. The MEAs were tested in a small fuel cell, with the selective oxidation electrode run as the anode. A humidified fuel stream of 100ppm CO in H₂ was used at a gas flow of 0.2 SLM at a pressure of 30 psi. Humidified N₂ at similar flow rates and pressures was used as a 'cathode' stream. The effectiveness of the selective oxidation electrode was assessed by introducing different levels of air bleed into the fuel stream and monitoring the CO level in the output gas stream using a Signal 2000 low flow CO analyser. No electrical load was applied to the electrodes, but the test set up otherwise mimicked the conditions of temperature, humidity and flow rates present within a fuel cell.

Table 1 shows the CO levels found in the output gas stream when different levels of air bleed are applied, in each case readings were taken after 30 mins at steady state.

TABLE 1

Example	Catalyst	metal loading (mg/cm ²)	CO level after 30mins at steady state/ppm		
			1% Air Bleed	2% Air Bleed	5% Air Bleed
Example 1	5%Rh/Alumina 524	0.12	12	6	6
Example 2	5%Rh/Alumina 526	0.1	72	25	2
Example 3	5%Rh/Alumina 526	0.2	40	11	2
Example 4	5%Rh/SCF-140 Alumina	0.22	10	7	6
Example 5	5%Rh/Silica	0.2	75	30	12
Comparative Example 1	20%Pt/Shawinigan Carbon	0.19	83	74	4
Comparative Example 2	20%Pt/Shawinigan Carbon	0.33	75	12	4

All of the catalysts on non-conducting supports show superior air bleed response to the Pt/carbon supported catalyst at equivalent or lower metal loadings. This ex-situ testing identified Example 1 as the most promising candidate for fuel cell testing. Therefore, a fuel cell anode using this gas phase selective oxidation layer was prepared and tested for fuel cell performance as described below .

COMPARATIVE EXAMPLE 3 – Pt(Pt/Ru)

10 A fuel cell anode of 240 cm² active geometric area was prepared as described in Example 1 of EP-A-0 736 921 with a gas phase catalyst layer prepared from 20wt%Pt catalyst supported on Shawinigan carbon as described in Comparative Example 1, to give a platinum loading of 0.2 mgcm⁻² of Pt, and a platinum/ruthenium electrocatalyst with metal loadings of 0.27 mgcm⁻² of Pt and 0.14 mgcm⁻² of Ru.

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EXAMPLE 6 - (Rh/Alumina)/(Pt/Ru)

A fuel cell anode of 240 cm² active geometric area was prepared as described in Example 1 of EP-A-0 736 921 with a gas phase catalyst layer prepared from the 20 5wt% Rh catalyst supported on Type 524 alumina as described in Example 1. A layer of the rhodium containing catalyst ink was applied to one side of a pre-teflonated Toray TPG90 paper to give a Rh loading of 0.12 mgcm⁻², such that the rhodium ink was embedded within the Toray paper, thus enabling electronic conductivity through the substrate. The electrocatalyst ink was prepared comprising a PtRu alloy catalyst at 25 nominal loadings of 20 wt%Pt and 10 wt% Ru loading supported on Cabot Vulcan XC72R carbon by a similar method to that described in Comparative Example 3. The electrocatalyst ink was applied to the other side of the Toray paper substrate at a loading of 0.29 mgcm⁻² of Pt and 0.15 mgcm⁻² of Ru.

FUEL CELL EVALUATION

From the anodes prepared as detailed in Comparative Example 3 and Example 6, two membrane electrode assemblies were prepared using Nafion 115 membranes and 5 conventional cathodes with nominal Pt loadings of 0.75 mgcm². The MEA was prepared by hot-pressing the membrane between the anode and the cathode. Samples were then conditioned in single cells overnight with pure hydrogen and air as the fuel and oxidant respectively. The performance with a fuel stream of 100 ppm CO in hydrogen was then assessed. Figures 1 and 2 show the performance data for the MEAs 10 of Example 6 and Comparative Example 3 respectively. Initially, the performance on pure hydrogen and air (at the cathode) is recorded. After several minutes, the anode gas stream is switched to 100ppm CO in hydrogen which causes a degradation of the cell voltage by approximately 220 mV for Example 6 and 200 mV for Comparative Example 3. After the performance has stabilised, air bleeds of increasing levels, starting 15 at 1%, are applied. Example 6 shows slow recovery of the performance loss but almost perfect recovery at 2% air bleed. Comparative Example 3 exhibits a performance still 80 mV lower than that with pure hydrogen at air bleed levels of up to 2%. Only at 5% air bleed level near-complete recovery is observed.

20 It has been shown that the presence of a non-conducting selective oxidation catalyst shows superior performance to a conventional carbon supported Pt based selective oxidation catalyst when operating in a fuel cell with a fuel stream containing CO in H₂ and air bleed.

CLAIMS

1. An electrically conducting gas diffusion substrate comprising an electrically conducting porous structure and a first catalytic component, characterised in that the first catalytic component comprises a first catalyst supported on an electrically non-conducting support.
2. A gas diffusion substrate according to claim 1 wherein the first catalyst is a gas-phase catalyst.
- 10 3. A gas diffusion substrate according to claim 1 or claims 2 wherein the first catalyst is one or more noble metals or non-noble metals or a combination thereof.
- 15 4. A gas diffusion substrate according to any preceding claim wherein the first catalyst is one or more metals selected from the group consisting of platinum, palladium, ruthenium, rhodium, gold, chromium, molybdenum, nickel and manganese or a combination thereof.
- 20 5. A gas diffusion substrate according to any preceding claim wherein the electrically non-conducting support is an oxidic support.
6. A gas diffusion substrate according to claim 5 wherein the oxidic support is alumina, silica, ceria, zirconia, an oxide of iron, a manganese oxide or titania.
- 25 7. A gas diffusion substrate according to any preceding claim, wherein the porous structure is a woven or non-woven fibrous material.
8. A gas diffusion substrate according to any one of claims 1 to 6, wherein the porous structure is formed from a polymer.
- 30 9. A gas diffusion substrate according to any one of claims 1 to 6, wherein the porous structure is a metal mesh.

10. A gas diffusion substrate according to any one of claims 7, 8 and 9 wherein the porous structure further comprises a filler material.

5 11. A gas diffusion substrate according to any preceding claim wherein the first catalytic component is embedded within the porous structure.

12. A gas diffusion substrate according to any one of claims 1 to 10 wherein the first catalytic component is applied to the porous structure as a surface layer.

10 13. A gas diffusion substrate according to claim 12 wherein the first catalytic component is mixed with electrically conducting particles.

14. A process for the preparation of a gas diffusion substrate according to any preceding claim, said process comprising in-filling a pre-formed porous structure with the first catalytic component or applying the first catalytic component to the surface of a pre-formed porous structure.

15 15. A gas diffusion electrode comprising an electrically conducting gas diffusion substrate as claimed in any one of claims 1 to 13 and a second catalytic component.

20 16. A gas diffusion electrode according to claim 15 wherein the second catalytic component comprises an electrocatalyst.

17. A gas diffusion electrode according to claim 15 or claim 16 wherein the second catalytic component is a precious metal or a transition metal as the metal or metal oxide, either unsupported or supported in a dispersed form on a carbon support; a carbon or an organic complex, in the form of a high surface area finely divided powder or fibre, or a combination of thereof.

25 30 18. A gas diffusion electrode according to claim 17, wherein the second catalytic component is a platinum/ruthenium alloy supported on carbon black or platinum supported on carbon black.

19. A membrane electrode assembly comprising a gas diffusion electrode as claimed in any one of claims 15 to 18, a second gas diffusion electrode, and a solid polymer membrane.

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20. A membrane electrode assembly comprising a gas diffusion electrode as claimed in any one of claims 15 to 18, a gas diffusion substrate and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.

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21. A membrane electrode assembly comprising a gas diffusion substrate as claimed in any one of claims 1 to 13, a gas diffusion electrode and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.

15

22. A membrane electrode assembly comprising a gas diffusion substrate as claimed in any one of claims 1 to 13, a second gas diffusion substrate, and a solid polymer membrane, wherein an electrocatalyst layer is applied to both sides of the solid polymer membrane.

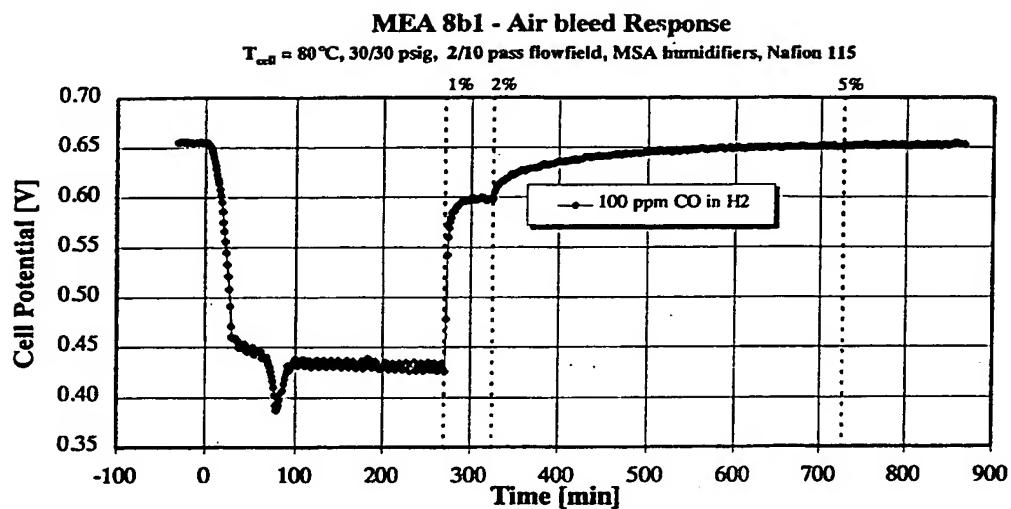
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23. A fuel cell comprising a gas diffusion substrate as claimed in any one of claims 1 to 13.

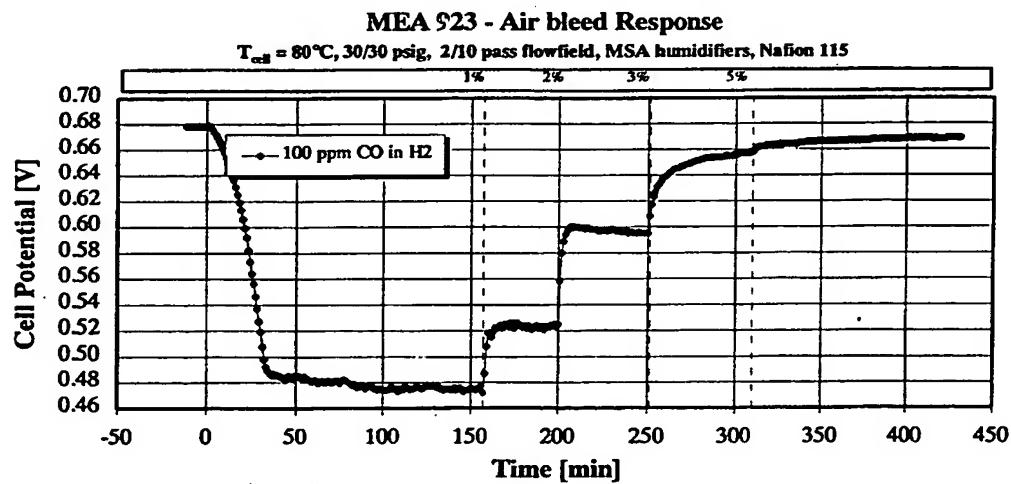
24. A fuel cell comprising a gas diffusion electrode as claimed in any one of claims 15 to 18.

25. A fuel cell comprising a membrane electrode assembly as claimed in any one of claims 19 to 22.

30

Figure 1

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Figure 2

INTERNATIONAL SEARCH REPORT

Internal Application No
PCT/GB 00/02305

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H01M8/10 H01M4/86

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H01M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 736 921 A (BALLARD POWER SYSTEMS ; JOHNSON MATTHEY PLC (GB)) 9 October 1996 (1996-10-09) cited in the application claim 1; examples 1,3	1-4,7-25
A	EP 0 606 051 A (PERMELEC ELECTRODE LTD ; PERMELEC SPA NORA (IT)) 13 July 1994 (1994-07-13) claims 1,2	1-6,10, 11,13-16



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents :

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- *O* document referring to an oral disclosure, use, exhibition or other means
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- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 00/02305

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